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U. S. Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER 495152002100  U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <div style="font-size: 2em; font-weight: bold; text-align: center;">10/520493</div>
INTERNATIONAL APPLICATION NO. PCT/US03/22928	INTERNATIONAL FILING DATE 22 July 2003	PRIORITY DATE CLAIMED 22 July 2002
TITLE OF INVENTION <b>ADAPTIVE ELECTROPOLISHING USING THICKNESS MEASUREMENTS AND REMOVAL OF BARRIER AND SACRIFICIAL LAYERS</b>		
APPLICANT(S) FOR DO/EO/US     Hui WANG et al.		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau) (27 pages).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)) (4 pages).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included (in counterpart, 6 pages).
13. ☒ A preliminary amendment (3 pages).
14. ☒ An Application Data Sheet under 37 CFR 1.76 (4 pages).
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: Return Receipt Postcard

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<div style="font-size: 2em; font-weight: bold;">10/520/493</div> <div style="font-size: 0.8em;">U.S. APPLICATION NO. (If known, see 37 CFR 1.51) Not Yet Assigned</div>		<div style="font-size: 0.8em;">INTERNATIONAL APPLICATION NO. PCT/US03/22928</div>		<div style="font-size: 0.8em;">ATTORNEY'S DOCKET NUMBER 495152002100</div>	
21. The following fees are submitted:					
<input checked="" type="checkbox"/>	a) Basic national fee .....			\$300.00	\$ 300.00
<input checked="" type="checkbox"/>	b) Examination fee .....			\$200.00	\$ 200.00
<input checked="" type="checkbox"/>	c) Search fee .....			\$500.00	\$ 500.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$1000.00</b>	<b>\$ 1,000.00</b>
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)		RATE	
27 - 100 =	/50 =			x \$250.00	0.00
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).					\$ 0.00
<b>CLAIMS</b>	<b>NUMBER FILED</b>	<b>NUMBER EXTRA</b>	<b>RATE</b>		
Total claims	43 - 20 =	23	x	50.00	\$ 1,150.00
Independent claims	4 - 3 =	1	x	200.00	\$ 200.00
MULTIPLE DEPENDENT CLAIM(s) (if applicable)			+	0.00	\$
<b>TOTAL OF ABOVE CALCULATIONS =</b>					<b>\$ 2,350.00</b>
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					
<b>SUBTOTAL =</b>					<b>\$ 1,175.00</b>
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).					\$ 0.00
<b>TOTAL NATIONAL FEE =</b>					<b>\$ 1,175.00</b>
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property					\$ 40.00
<b>TOTAL FEES ENCLOSED =</b>					<b>\$ 1,215.00</b>
					Amount to be refunded:
					\$
					Amount to be charged:
					\$
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.					
b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. 03-1952 in the amount of \$ 1,215.00 to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 03-1952. A duplicate copy of this sheet is enclosed.					
d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.					
<b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO:					
<div style="font-size: 1.5em; font-family: cursive;">Peter J. Yim</div> <div style="font-size: 0.8em;">SIGNATURE: _____</div>					
<div style="font-size: 0.8em;">NAME: _____</div>					
<div style="font-size: 0.8em;">REGISTRATION NUMBER (44,417)</div>					
CUSTOMER NUMBER: 20872					

Application No. (if known): Not Yet Assigned

Attorney Docket No.: 495152002100

## Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EL990376110US in an envelope addressed to:

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Signature

Valerie Cohen

Typed or printed name of person signing Certificate

N/A

Registration Number, if applicable

(415) 268-6733

Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

1. Transmittal Letter to the United States Designated-Elected Office (in duplicate, 6 pages)
2. Specification, Claims and Abstract (18 pages)
3. Formal Drawings (9 sheets)
4. Preliminary Amendment (3 pages)
5. Declaration (signed, in counterpart, 4 pages)
6. Application Data Sheet (4 pages)
7. Assignment w/Assignment Recordation Coversheet (in counterpart, 6 pages)